



**CONTINENTAL**  
STOCK TRANSFER & TRUST

# Notes

## FROM THE CHAIRMAN

SPRING 2021 NEWSLETTER

INDEPENDENT SPIRIT • RELENTLESS DEDICATION

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Steven Nelson  
President & Chairman

Connect with me directly  
at 212.845.3201



*Thanks for always providing great service, a great product and being so supportive and easy to work with – especially during these uncertain times! I really appreciate all of you!*

– Becky Johnson -  
CCFBANK

## Notes From the Chairman

I write to you now as the COVID pandemic continues to impact our lives dramatically even as there appears to be light at the end of the tunnel. We at Continental continue to operate on a mostly remote basis, with approximately 20% of our staff in the office at any point in time. This model has worked seamlessly since we declared our pandemic emergency on March 4, 2020. All of our customers have been served throughout without any interruption or diminution in our services.

*This is attributable to our advance planning and testing of our Pandemic Plan and Business Continuity Plan over many years. It is attributable as well to our incredible management team and employees who continue to work for our customers 24 hours per day, 7 days per week in the face of incredibly challenging circumstances. I cannot tell you how proud I am of their commitment and excellence.*

## 2021 BUSINESS CONDITIONS SO FAR

In my last newsletter, I reported to you that 2019 was a record year across all business lines, and 2020 blew past those records early in the year. In terms of revenues, income and net income, we achieved record results in 2020. Our core stock transfer business continues to grow in a meaningful way, particularly in the community and regional bank space. We also have expanded dramatically our co-agent work on behalf of TSX Trust for dual listed Canadian issuers. This is a great partnership with TSX of which we are incredibly proud.

However, as has been the case for many years, the most significant contributor to our explosive growth has been in the SPAC arena. While 2019 was a record year when we handled 53 SPAC IPOs, in 2020 we handled 243 SPAC IPOs and 59 Business Combinations! Even more shocking is the fact that we have handled already this year more than 300 additional SPAC IPOs. SPACs have constituted well more than 50% of the overall IPO market and we have over 400 SPACs looking for targets and more than 140 deals announced already. Notably the size of several Business Combinations has exceeded \$10 billion in enterprise value, and the just announced SPAC merger between our client Altimeter Growth Corp and Asian ride-hailing company Grab is said to have an enterprise value of \$40 billion!

Significantly, Continental has continued to maintain a more than 95% market share of the SPAC market, which is a testament to our proprietary platforms for handling the Business Combination/redemption stage and for oversight of our hundreds of trust accounts for SPAC customers. It is also a testament to the expertise and dedication of the SPAC trust services group, led by Fran Wolf, and the Business Combination/redemption group led by Mark Zimkind.

With SPACs proliferating at an unprecedented pace, they have worked night and day with the overworked law firms, investment bankers, auditors and proxy solicitors to meet the needs of our customers. The same can be said of our Account Management Group led by Maggie Villani. Her team of incredibly dedicated administrators has been severely tested by the deal flow, but they have come through with flying colors. All told Continental has handled more than 800 SPAC IPOs since 2003, and more than 500 in just the past 15 months – incredible!

Not surprisingly, we have hired new, experienced staff at an unprecedented pace, mostly from large agent competitors who have reduced staff as their businesses have been challenged. Indeed, we have expanded our staff by more than 40% to best serve our expanding list of clients. Many of these have been added to our relationship teams, but many have been hired to build out our new Business Combination group. Just as we have unique proprietary platforms for handling trust asset management and Business Combination redemptions and meetings, so we now have developed a proprietary platform to handle the often complex consolidation merger and integration. We are excited about this Business Combination Group led by Doug Reed, a veteran of more than 35 years at CitiBank, Broadridge etc. This group will

be able to provide unique bespoke servicing for these post-SPAC merger closings of significant size, complexity and enterprise value.

However, all is not completely rosy on the SPAC front as the SEC has recently chimed in with guidance in two principal areas: projections made by SPACs prior to their proposed Business Combinations; and accounting treatment for warrants. While the guidance on projections seems understandable albeit not particularly new for major sponsor groups, the SEC position on warrant accounting treatment by SPACs seems completely wrong-headed. First, the number of transactions in which this issue could present itself is miniscule. Indeed, I'm told by the most experienced SPAC lawyers in the country that they are unaware of even one case in the past where this treatment would have yielded a materially different result. So the SEC is in essence punishing the thousands of SPAC investors that they are tasked with protecting by hammering SPAC share valuations in the public markets. Worse still is the fact that the SEC has for the past seven years accepted the warrant accounting treatment they are now attacking.

The expectation is that hundreds of deals, both SPAC IPOs and announced Business Combinations, will decide to restate their financials. This will surely slow the SPAC market for 2-3 months at a minimum. However, the expectation here is that the SPAC market will regain its crazy pace in the second half of 2021. Time will tell.

The foregoing will surely be discussed and analyzed at length at the Deal Flow SPAC Conference which we are proud to sponsor again this year June 23-24. Come join us for what will be an even more fascinating event this year!

*(Continued on page 4)*

(Letter continued from page 3)

## INDUSTRY UPDATE

In my continuing role as a member of the Executive Committee of the Securities Transfer Association (“STA”) I have reported to you over many years about attempts by our industry and the SEC to attack the fundamental flaws in the proxy plumbing system which has been in place for decades. Issuers continue to be dogged both in terms of excessive fees charged by intermediaries, particularly Broadridge, and the lack of integrity in the current proxy voting system. Issuers simply do not get to communicate directly with their beneficial holders, and over-voting and a lack of pre-mailing reconciliation by banks and brokers severely undermines the integrity of the voting system.

While we have worked long and hard with Commissioner Roisman at the SEC to break this decades-old logjam, there is now light at the end of the tunnel! The NYSE, which has for years been tasked with setting fees for bank/broker proxy distribution of proxy materials, has now filed with the SEC to be relieved of that responsibility. It made no sense for the NYSE to set proxy rates anyway, since they are owned by the same brokers whose rates they have been setting!

The STA has suggested to the Commission, in a comment letter which you can access via this link: [STAletter041421](#) that they should as an interim measure mandate that intermediaries such as banks and brokers should pay for all proxy distribution costs to their customers. They already have systems in place to pay such costs regarding corporate actions and dividend payments, so this proposal represents an easy fix consistent with practices in place for other similar types of distribution costs.

If the STA proposal were adopted and intermediaries were henceforth required to pay for proxy distribution costs to their beneficial holders, the savings for issuers large and small would be astronomical. Moreover, such a result would be totally fair and equitable in addition to being immediately practicable. Feel free to contact the SEC or comment if you are supportive on this issue. I will keep you apprised of developments as they arise.

**“ ”** *I am writing this email to give recognition to your compliance department. Our CES group at RBC has been assisted by Michele Jones and Theresa Scelzi with our restricted transactions for many years. The service they have and continue to provide us is superior. They are very knowledgeable of this business, responsive to time-sensitive situations, which is very common with these transactions, and always punctual and pleasant to work with. They are appreciated and hopefully recognized for the service they provide. Over the years we have recommended Continental Stock Transfer to companies who have requested transfer agent recommendations based on the service your department has provided us.*

– Jeff Eischens - RBC US Wealth Management

## Get to Know Our Team

### Karri VanDell, Vice President and Director of Sales Strategy & Marketing



Karri is responsible for strategically growing the business by nurturing relationships with new clients and partnerships interested in tailored, flexible and thoughtfully-designed services. She also spearheads Continental Stock Transfer & Trust brand and

marketing initiatives to enhance customer awareness and foster industry engagement.

She has more than 32 years of service in the transfer agent industry holding a variety of roles in management, client relationships, strategy, stock plan administration and corporate trust/escrow services. She has been a member of many industry organizations and served as the former President of Midwest Securities Transfer Association.

Karri lives in the Upper Midwest with her husband Keith. They have four daughters and are expecting their first granddaughter this year.

### Kenneth White, Mail Operations



Kenneth is responsible for Continental's daily production and prioritization of the company's workflow imaging process, manages incoming/outgoing mail operations, and coordinates record retention and archiving. Additionally, Kenneth executes monthly client billing relating to

mail operations, manages inventory and vault protocol, and supports the material inventory relating to important proxy material for the brokerage community.

Prior to his six years with Continental, Kenneth was employed by The Bank of New York and Computershare, bringing over 20 years of stock transfer operations and workflow data experience.

Kenneth enjoys time with family, friends, billiards and his local sports, including basketball, baseball, golf and football -- GO JETS!

“ ” *He is a pleasure to work with, and at any time you need him to stay for any reason, he is always willing to go the extra mile to make our Mail and Scanning Operations a complete success.*

– Robert Zubrycki, VP & Director of Mail Operations at Continental Stock Transfer & Trust

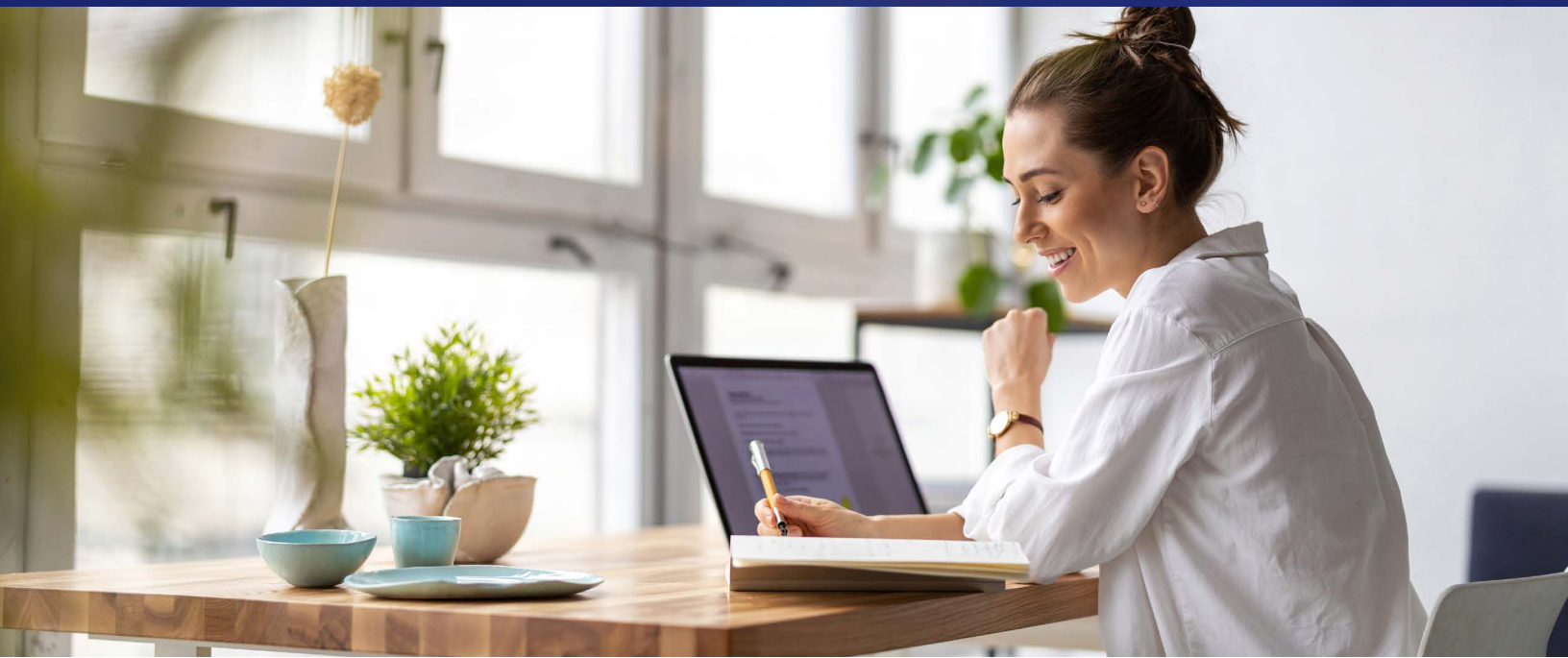


## CNEWS

### HOW TO GET ON OUR MAILING LIST

Sign up for our “CNews” electronic newsletter! CNews offers updates on Continental's most recent news, insights, events, and more.

**Follow us on Social Media**



## CALIFORNIA PRIVACY ACT OF 2018

The California Privacy Act, also known as CCPA, created consumer rights relating to Personal Identifying Information (“PII”) that is collected by businesses for consumers with a residence status in the state of California (“CA”).

The CA Department of Justice (Attorney General) adopted sections §§ 999.300 through 999.341 of Title 11, Division 1, Chapter 20, of the California Code of Regulations (CCR) concerning the CCPA. It went into effect on August 14, 2020 and additional amendments went into effect on March 15, 2021.

Continental implemented a CCPA policy in October of 2020. We comply with the CCPA regulations and additional amendments, effective on March 15, 2021, to the extent that those regulations do not conflict with our recordkeeping requirements under current S.E.C. regulations.

### *We do NOT buy or sell PII.*

We furnished letters of instruction to all consumers with a CA resident status when the regulations became effective in August of 2020, and continue to furnish letters to new consumers via USPS to provide an

off-line method to easily opt-out. The letter also provides information for consumers to opt-out electronically by utilizing a “do not sell my info” link on our website. The link provides instructions to assist shareholders who wish to opt-out electronically by completing a form and submitting.

### Effective on March 15, 2021 the following sections of the CCPA were modified:

**Section 999.306** was modified to provide examples of how businesses that sell personal information that they have collected in the course of interacting with consumers offline can provide the notice of right to opt-out of the sale of personal information through an offline method. It has also been modified to provide a uniform icon required by the CCPA for the purpose of promoting consumer awareness of the right to opt-out of the sale of personal information.

**Section 999.315** was modified to provide guidance on how a business's methods for submitting requests to opt-out should be easy and require minimal steps. The provision includes illustrative examples of prohibited methods because they are designed with the purpose or substantial effect of subverting or impairing a consumer's choice to opt-out.

**Section 999.326** was modified to clarify the proof that a business may require an authorized agent to provide, as well as what the business may require of a consumer to verify their request.

**Section 999.332** was modified to clarify that businesses subject to either section 999.330, section 999.331, or both of these sections are required to include a description of the processes set forth in those sections in their privacy policies.

Except for the opt-out icon, our policy complied with the March 15, 2021 amendments. The opt-out icon on our website has recently been updated with the required opt-out icon.

Continental provides certifications to all of our issuers located within CA or other issuers with CA consumers of resident status to the extent such shareholders have provided PII to us. In addition, we require our vendor partners who assist us with lost shareholder searches, escheatment of abandoned property, OFAC screening, etc. to confirm on an annual basis, that they are in compliance with the CCPA regulations as to our records.

Because of the extensive system programming as well as the process required to effect this regulatory change, it has become necessary to charge all affected issuers an annual fee and transaction charges.

***Please contact your Account Manager for more information.***



*I've worked with Ana in the past. Her support in the past is the biggest reason I'm back with CST.*

– Matt Lourie - Fresh Notion  
Financial Services

# VIRTUAL SHAREHOLDER MEETING (VSM)

We are dedicated to provide the means to achieve a safe and successful shareholder meeting.

CSTT's VSM provides all elements of an in-person meeting without the logistical concerns of an in-person meeting:

- Fully secure, safe & effective platform
- Hosting & voting options
- Shareholder mailing coordination
- Multiple presenters availability
- Issuer customization
- Slideshow hosting
- Attendee authentication
- Voting review & tabulation
- Up to 1,000 attending & 300 logged in accounts
- Adjournment & resume meeting features
- Simplified investor access to join meeting

Our Continental team is pleased to provide several updates relating to our VSM platform. The platform utilizes a dedicated, secure URL to ensure the safety and confidentiality of your meeting. We now offer video services. With a recent shareholder video meeting, our client was able to visually connect 12 Directors during the hour virtual meeting. In addition, we have expanded our capacity for verified shareholders to log in from 100 to 300 accounts. We offer several thousand additional shareholders the ability to listen to the meeting through the VSM site or through a designated listen-only call-in number.

Another important feature relates to adjourned meetings. We have been able to help many clients that have had to adjourn a meeting and resume the VSM within a few hours, or even on another day.

Similar to last year, questions from shareholders can be submitted through the VSM site and/or an issuer designated email address. Shareholders can vote prior to the meeting or at the time the polls are open. A summary of shareholders registered for the meeting, as well as questions submitted, is provided to the company.

We have made joining our virtual meetings much easier for investors by allowing access simply by entering their name and email address. They can listen in and view any presentations. Of course to be able to submit questions or vote, they will still need to enter a valid control number.

We now have the ability to use Application Programming Interface (better known as API) with other intermediaries to connect beneficial holders into our virtual meeting platform without the need for a legal proxy. When a beneficial holder logs into our system with a control number not issued by Continental, our system can now authenticate with other intermediary's systems and verify access. This allows investors to easily join our virtual meetings, ask questions, and vote.

Playback recordings are available within 24 hours of the meeting and fully accessible for up to 12 months. VSM is not just for proxy/annual meetings; it can be used for periodic virtual investor calls as well.

**For more information regarding CSTT's Virtual Shareholder Meeting services, please visit:**



**Continental completed 175 Virtual Shareholder Meetings in the last year and have at least 125 more scheduled this year.**

# INNOVATION & TRANSFORMATION IN THE CORPORATE ACTION SPACE

Continental has been working on an exciting new product to eliminate paper-based payment processes for paying agent M & A transactions. Our newly designed and innovative tool, Corporate Action Online Transaction Portal, will allow for electronic delivery and completion of offering documents.

This method is efficient and offers ease of use while allowing faster turn-around than conventional paper-based processes.

Our Corporate Action professionals will tailor documentation to the unique requirements of each specific corporate action. Shareholders can quickly review, complete and electronically sign deal documents delivered securely through our online portal. The process enables deal documents to be completed accurately and timely the first time therefore expediting payments.

Shareholders will be able to

- manage payment information online,
- choose their preferred payment method (fed wire, check)
- and know that their information is being kept secure and private.

Continental clients will have access to a reporting tool that will show the status of payments through a secure online report.

Stay tuned for more information on the rollout of this exciting new product in our next newsletter.

“ ” We are proud of our ability to provide innovative ways for our shareholder base to interact with us. As such, we are proud to announce our soon to be live Corporate Action Online Transaction Portal. We are confident that this exciting new product will simplify our shareholders' experience and provide them with a more streamlined way to submit their offering documents.

– John Ulla,  
Chief Operating Officer  
of Continental Stock  
Transfer & Trust

The image shows two screenshots of the Continental Corporate Action Online Transaction Portal. The top screenshot is the 'Direct Corporate Action Sign On' page, which includes a login form with a 'Control Number' field and a 'Log In' button. The bottom screenshot is the 'Account Verification for Corporate Action Processing' page, which displays account information and a progress bar indicating 71% completion. The page is divided into several sections: Investor Information, Account Verification, Current Information, Payment Information, Tax Information, Asset Detail, and Payment Detail.

**Investor Information**

Account Name  
JOHN DOE

Email  
JOHN.DOE739@CONTINENTALSTOCK.COM

Account Number  
500

Registration  
INDIVIDUAL

**Account Verification for Corporate Action Processing**

Issue Name  
PORTAL TEST COMPANY 210

71% Complete

Please review the information you supplied

Current Information	Payment Information	Tax Information
221 3RD AVE APT 3 NEW YORK, NY 10003-2532 Phone: +1 212-555-1212 E-mail: JOHN.DOE739@CONTINENTALSTOCK.COM	Payment Method Check via Mail	Form W-9 123-45-6789 Individual / Sole Proprietor INDIVIDUAL

**Asset Detail**

Certificate Number and Prefix	Denomination	Loss Reported
PORTAL TEST COMPANY 210 Certificate: 530	31	No

**Payment Detail**

Payment Pending



## Real Estate SPACs Virtual Forum

Continental was a proud sponsor of the Real Estate SPACs Virtual Forum on March 2, 2021.



## 2021 Emerging Growth Virtual Conference

Continental was a platinum sponsor of the 2021 Emerging Growth Virtual Conference on March 17-19, 2021.



## Gold Sponsor of the SPAC Conference 2021

Continental is proud to be a gold sponsor for the SPAC Conference 2021 on June 23-24. Steven Nelson, our President & Chairman will be moderating the SPAC Incentive Structure panel on the first day of the conference.

## Welcome New Talent/Employees

### Account Management

Keri-Ann Cuadros  
Michael Goedecke  
Erika Harris  
Stephen Jones  
Ian McKay  
Kassandra Nordeste  
Luis Ortiz

### Accounting

Tehillah Levy

### Compliance

Marie Bolamba  
Juan Sanchez

### Corporate Actions

Jethroe Aure  
Stephen Baran  
Anthony Cangro  
Victor Duarte  
Ruben Hearst  
Pamela Knowles-Smith  
Albert Kong  
Donna Rodriguez  
Arber Shehu  
Joanne Simon

### Customer Care

John Torres

### Escrow Services

Karen Guandique  
Lei Miao

### Human Resources

Candy Ha

### Operations

Robert Bondurant  
Jess-Ann Thompson

### Proxy Services

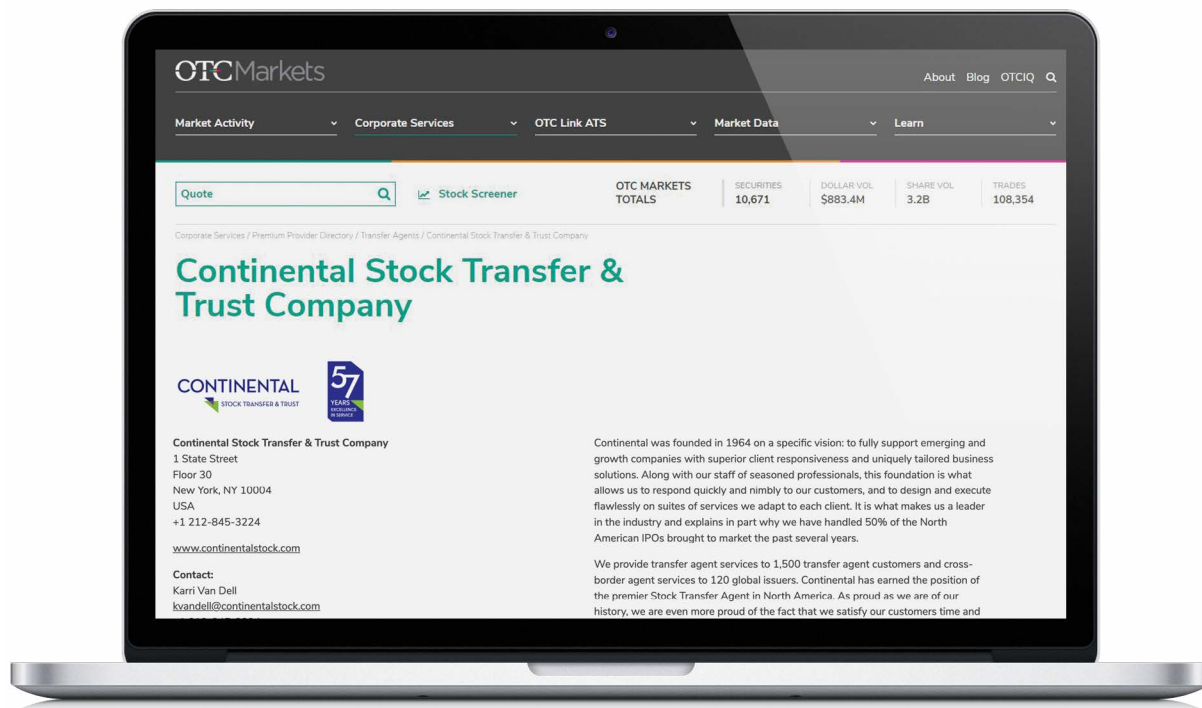
Catherine Contes  
Ronald Urquiza

### SPAC Services

Miguel Aquino JR  
Daniel Egan

## OTC Premium Provider Directory

Continental Stock Transfer & Trust is proud to be featured in the exclusive OTC Premium Provider Directory.



## CONTINENTAL CUSTOMER CARE

Our Manhattan-based call center is staffed with Continental employees during the hours of 8:30 AM to 5:30 PM ET from Monday through Friday.

At CSTT, we do not employ an interactive voice response system because we believe your questions are best answered by our expertly trained staff that would be delighted to address your specific needs. Our phone queues are efficiently designed to allow shareholders the opportunity to direct their calls to the specific area of their inquiry, avoiding the hassle of navigating through a call system and being redirected.

We take inquiries seriously and ensure every question is thoroughly answered. Our knowledgeable staff is dedicated to answering all emails sent within business hours on the same day. Email communication sent after business hours is promptly managed the next business day. This order of business also applies to voicemails.

2020 was a record-breaking year for our business, especially with the escalation of SPACs. While our company quickly expanded and business thrived, our call center, as a result, received an influx of inquiries.

*Our Continental Customer Care team has been exceptional throughout this growth. We have been fortunate to expand the team and continue to provide expert and timely responses.*

A few of our exceptional team members are highlighted to the right in appreciation for their excellent contributions throughout this growth. We are grateful for these employees and each individual on our Continental Customer Care team.



**CONTINENTAL  
CUSTOMER  
CARE**

LIVE CALL SUPPORT  
212.509.4000

Our Manhattan-based call center is staffed with Continental employees, trained to expertly address your specific questions.



**Darren Thearle** has 20 years of experience in the transfer agent industry and has specialized in multiple areas, including transfer and dividend reinvestment. Darren excels in customer service, both on the phone and over email, answering shareholders' questions and providing information they require. While he is originally from England, we are honored to have him working with us in Manhattan.

**Sidney Lau** has over 20 years of experience in the transfer agent industry, specifically in various areas including dividend/dividend reinvestment services. Sidney is a native New Yorker, and we are grateful to have him on our team.

**Smith Simeon** has 15 years of experience in the transfer agent industry, specifically in recordkeeping fundamentals and dividend/dividend reinvestment services. Smith is a native from New Jersey, and we are thankful for his contribution to our team.

# NEW TRANSFER AGENT CUSTOMERS

10/1/2020 – 3/31/2021

COMPANY	AREA
10X Capital Venture Acquisition Corp	NY
180 Life Sciences Corp	CA
26 Capital Acquisition Corporation	FL
5:01 Acquisition Corp	CA
7GC & Co. Holdings, Inc.	CA
890 5th Avenue Partners, Inc.	NY
ABG Acquisition Corp. I	NY
Accelerate Acquisition Corp	NJ
Acell Inc.	MD
Acies Acquisition Corp	CA
Ackrell SPAC Partners I Co.	DE
Adara Acquisition Corp.	NC
Adit EdTech Acquisition Corp.	NY
Advanced Merger Partners, INC.	DE
Advantage Solutions Inc.	CA
Advent Technologies Holdings, Inc.	MA
AEA-Bridges Impact Corp	Cayman Islands
Aequi Acquisition Corp.	CT
Aersale Corporation	FL
AEVA Technologies, Inc.	CA
AF Acquisition Corp.	FL
AFC Gamma, Inc.	FL
African Gold Acquisition Corp	NY
Agile Growth Corp	MA
AJAX I	NY
Aligos Therapeutics, Inc.	CA
Alpha Capital Acquisition Company	NY
Altimar Acquisition Corp. III	NY
Altimar Acquisition Corporation	NY
Altimar II Acquisition	NY
Altimeter Growth Corp 2	CA
Altimeter Growth Corp.	CA
Altitude Acquisition Corp.	GA
American Acquisition Opportunity, Inc	N
Americas Technology Acquisition Corp.	TX
Apollo Healthcare Corp.	Canada
Apollo Strategic Growth Capital	NY
Apollo Strategic Growth Capital II	NY
AppHarvest, Inc.	KY
Archimedes Tech SPAC Partners Co	DE

COMPANY	AREA
ArcLight Clean Transition Corp. II	MA
Arctos NorthStar Acquisition Corp.	TX
Ares Acquisition Corporation	NY
Ark Global Acquisition Corp	TN
ARKO Corp.	VA
Arrival	Grand Duchy of Luxembourg
Arrowroot Acquisition Corp.	CA
ARYA Sciences Acquisition Corp. IV	NY
Astrea Acquisition Corp.	FL
Athena Technology Acquisition Corp.	GA
Athlon Acquisition Corp.	MA
Atlantic Avenue Acquisition Corp	CT
Atlantic Coastal Acquisition Corp.	NY
Atlas Crest Investment Corp.	NY
Atlas Crest Investment Corp. II	NY
Aurora Acquisition Corp.	UK
Austerlitz Acquisition Corp I	NV
Austerlitz Acquisition Corp II	NV
Authentic Equity Acquisition Corp.	NY
Avanti Acquisition Corp	Cayman Islands
B. Riley Principal 150 Merger Corp.	NY
Banxa Holdings, Inc.	Canada
BCLS Acquisition Corp	MA
Belo Sun Mining Corp	Canada
Benessere Capital Acquisition Corp	FL
Bespoke Capital Acquisition Corp.	Canada
Better World Acquisition Corp.	NY
Big Cypress Acquisition Corp.	FL
Biotech Acquisition Company	NY
Bite Acquisition Corp.	NY
Blue Water Acquisition Corp.	CT
BlueRiver Acquisition Corp.	TX
Bluescape Opportunities Acquisition Corp.	TX
BOA Acquisition Corp.	DC
Breeze Holdings Acquisition Corp.	TX
Bridgetown 2 Holdings Limited	China
Bridgetown Holdings Limited	China
Bright Lights Acquisition Corp.	CA
Brixton Metals Corporation	Canada

## New Transfer Agent Customers (continued)

COMPANY	AREA
Broadscale Acquisition Corp.	PA
Brookline Capital Acquisition Corp	NY
BTRS Holdings, Inc.	NJ
Build Acquisition Corp.	TX
Bull Horn Holdings Corp.	FL
BurgerFi International, Inc.	FL
Butterfly Network, Inc	CT
BYTE Acquisition Corp.	NY
CA Healthcare Acquisition Corp.	MA
Canoo, Inc.	CA
Capitol Investment Corp. V	VA
Carebook Technologies Inc.	Canada
Carney Technology Acquisition Corp II	CA
Cartesian Growth Corp.	NY
Cascade Acquisition Corp	FL
Catcha Investment Corp.	Malaysia
CBRE Acquisition Holdings, Inc.	TX
CC Neuberger Principal Holdings III	NY
Centricus Acquisition Corp	UK
Cerberus Telecom Acquisition Corp.	NY
Cerevel Therapeutics Holdings, Inc.	MA
CF Acquisition Corp. IV	NY
CF Acquisition Corp. V	NY
CF Acquisition Corp. VI	NY
CF Acquisition Corp. VIII	NY
CF Finance Acquisition Corp. III	NY
Chargepoint Holdings Inc.	CA
Churchill Capital Corp. V	NY
Churchill Capital Corp. VI	NY
Churchill Capital Corp. VII	NY
Clarim Acquisition Corp.	NY
Class Acceleration Corp.	CA
Clen Inc.	UT
Climate Change Crisis Real Impact I Acquisition Corp	NJ
Climate Real Impact Solutions II Acquisition Corp	NJ
Clover Health Investment Corp.	TN
CM Life Sciences II Inc.	NY
CM Life Sciences III Inc.	NY
Colicity Inc.	WA
Colonnade Acquisition Corp. II	FL

COMPANY	AREA
Compute Health Acquisition Corp.	DE
Concord Acquisition Corp	NY
Consonance-HFW Acquisition Corp.	NJ
Constellation Acquisition Corp I	NY
CONX Corp	CO
Corazon Capital V838 Monoceros Corp	IL
Corner Growth Acquisition Corp.	CA
COVA Acquisition Corp.	CA
Crown PropTech Acquisitions	NY
Crucible Acquisition Corp	CO
Cullman Bancorp, Inc.	AL
Curiosity Stream Inc.	MD
D and Z Media Acquisition Corp	GA
Danimer Scientific, Inc.	GA
Datable Technology Corporation	Canada
Datametrex AI Limited	Canada
DD3 Acquisition Corp. II	Mexico
Decarbonization Plus Acquisition Corp	CA
Decarbonization Plus Acquisition Corp. II	CA
Decarbonization Plus Acquisition Corp. III	CA
Deep Lake Capital Acquisition Corp.	NV
DeFi Technologies, Inc.	Canada
Delwinds Insurance Acquisition Corp.	TX
DHB Capital Corp	NY
DHC Acquisition Corp	TX
Digital Transformation Opportunities Corp.	WA
Disruptive Acquisition Corp I	CA
DMY Technology Group Inc. IV	NV
dMY Technology Group, Inc. III	NV
Docebo, Inc.	Canada
DPCM Capital, Inc	FL
Dragoneer Growth Opportunities Corp. II	CA
Dragoneer Growth Opportunities Corp. III	CA
Duddell Street Acquisition Corp	China
Dune Acquisition Corporation	FL
E2open Parent Holdings, Inc.	TX
EarthRenew, Inc.	Canada
Eastern Bankshares, Inc.	MA
Edify Acquisition Corp.	NY
Edoc Acquisition Corp.	NY
EdtechX Holdings Acquisition Corp II	UK

## New Transfer Agent Customers (continued)

COMPANY	AREA
EJF Acquisition Corp	VA
Empower Ltd.	NY
Empowerment & Inclusion Capital I Corp.	NY
Environmental Impact Acquisition Corp.	NY
Eos Energy Enterprises, Inc.	NJ
Epiphany Technology Acquisition Corp.	CA
EQ Health Acquisition Corp.	TX
ESM Acquisition Corp.	TX
Esports Technologies, Inc.	NV
Eucrates Biomedical Acquisition Corp	NY
European Biotech Acquisition Corp.	Netherlands
European Sustainable Growth Acquisition Corp.	CT
Evo Acquisition Corp.	NV
Excelsior Mining Corp.	Canada
Far Peak Acquisition Corporation	NY
FAST Acquisition Corp. II	CT
FG New America Acquisition Corp	IL
Fifth Wall Acquisition Corp I	CA
Figure Acquisition Corp.	CA
FinServ Acquisition Corp. II	NY
Fintech Acquisition Corp. V	PA
Fintech Evolution Acquisition Grp	NY
First Reserve Sustainable Growth Corp	CT
FirstMark Horizon Acquisition Corp.	NY
Fisker Inc.	CA
Foreseight Acquisition Corp.	IL
Forest Road Acquisition Corp.	NY
Forest Road Acquisition Corp. II	NY
Fortistar Sustainable Solutions Corp.	NY
Fortress Capital Acquisition Corp.	NY
Fortress Value Acquisition Corp. III	NY
Fortress Value Acquisition Corp. IV	NY
Forum Merger IV Corporation	FL
FoxWayne Enterprises Acquisition Corp.	NY
Frazier Lifesciences Acquisition Corporation	WA
Freedom Acquisition I Corp.	NY
Frontier Acquisition Corp	NY
FS Development Corp. II	CA
FTAC Athena Acquisition Corp.	PA
FTAC Hera Acquisition Corp.	PA

COMPANY	AREA
FTAC Parnassus Acquisition Corp.	PA
Fusion Acquisition Corp. II	NY
Fusion Fuel Green PLC	Ireland
G Squared Ascend I Inc.	IL
G&P Acquisition Corp	RI
G2 Goldfields, Inc.	Canada
Galway Metals, Inc.	Canada
Gamesquare Esports, Inc.	Canada
Gaming & Hospitality Acquisition Corp.	NV
GBS, Inc.	NY
GCM Grosvenor Inc.	IL
Genesis Park Acquisition Corp.	TX
GigCapital4 Inc.	CA
Glass Houses Acquisition	TX
Glenfarne Merger Corp.	NY
Global Synergy Acquisition Corp.	NY
Goal Acquisition Corp.	TX
Gold Royalty Corp	Canada
Golden Arrow Merger Corp	NY
Golden Falcon Acquisition Corp	DE
Golden Nugget Online Gaming, Inc.	TX
Goldenbridge Acquisition Limited	China
Good Works Acquisition Corp.	TX
Gores Holdings VI Inc.	CA
Gratomic, Inc.	Canada
Group Nine Acquisition Corp.	NY
Growth Capital Acquisition Corp.	NY
H.I.G. Acquisition Corp	FL
Hamilton Lane Alliance Holdings I, Inc.	PA
Health Assurance Acquisition Corp.	MA
Healthcare Capital Acquisition	DE
Healthcare Services Acquisition Corp.	MD
Healthcor Catalio Acquisition Corp	NY
Helix Acquisition Corp	MA
Hennessy Capital Investment Corp. V	WY
HH&L Acquisition Co.	China
Highland Transcend Partners I Corp.	MA
Hims & Hers Health Inc.	CA
Horizon Acquisition Corp II	CT
Hudson Executive Investment Corp. II	NY
Hudson Executive Investment Corp. III	NY

## New Transfer Agent Customers (continued)

COMPANY	AREA
HumanCo Acquisition Corp	TX
Hyllion Holdings Inc.	TX
I-80 Gold Corp.	Canada
IBERE Pharmaceuticals	PA
IG Acquisition Corp.	NY
Ignyte Acquisition Corp	NY
IM Cannabis Corp.	Canada
INSU Acquisition Corp. III	PA
InterPrivate II Acquisition Corp.	NY
InterPrivate III Financial Partners Inc.	NY
InterPrivate IV Infra Tech Partners Inc.	NY
Investindustrial Acquisition Corp.	United Kingdom
ION Acquisition Corp 2 Ltd	Israel
ION Acquisition Corp. 1 Ltd	Israel
Ion Energy, Ltd	Canada
Isleworth Healthcare Acquisition Corp.	FL
Isos Acquisition Corp	CT
ITHAX Acquisition Corp.	NY
Itiquira Acquisition Corp.	NY
Ivanhoe Capital Acquisition Corp.	Singapore
Jack Creek Investment Corp.	NY
Jack Nathan Medical Corp	Canada
Jaws Mustang Acquisition Corporation	FL
Jaws Spitfire Acquisition Corporation	FL
Jiya Acquisition Corp.	CA
JOFF Fintech Acquisition Corp.	NY
Jourdan Resources, Inc.	Canada
Kadem Sustainable Impact Corporation	NY
Kairos Acquisition Corp.	CT
Kensington Capital Acquisition Corp. II	NY
Kernel Group Holdings, Inc.	CA
Khosla Ventures Acquisition Corp	CA
Khosla Ventures Acquisition Corp II	CA
Khosla Ventures Acquisition Corp III	CA
Kingswood Acquisition Corp.	NY
KINS Technology Group, Inc.	CA
Kismet Acquisition Three Corp.	Cayman Islands
Kismet Acquisition Two Corp.	Cayman Islands
KKR Acquisition Holdings I Corp	NY
KL Acquisition Corp.	NY
Kludeln I Acquisition Corp.	CA
KWESST Micro Systems Inc.	Canada

COMPANY	AREA
L&F Acquisition Corp.	IL
L. Catterton Asia Acquisition Corp	Singapore
Landcadia Holdings IV, Inc	TX
Landcadia Holdings III, Inc.	TX
Landsea Holdings Corporation	CA
Lazard Growth Acquisition Corp I	NY
LDH Growth Corp I	FL
Lead Edge Growth Opportunities, Ltd	NY
Lefteris Acquisition Corp	MA
Legato Merger Corp.	NY
Leo Holdings Corp. II	CA
Leo Holdings Corp. III	Bahamas
Leocor Gold Inc.	Canada
Lerer Hippeau Acquisition Corp.	NY
Levere Holdings Corp.	Cayman Islands
Liberty Media Acquisition Corporation	CO
LifeSci Acquisition II Corp	NY
LightJump Acquisition Corp.	CA
Live Oak Acquisition Corp. II	TN
Live Oak Mobility Acquisition Corp.	TN
LMF Acquisition Opportunities Inc.	FL
Locust Walk Acquisition Corp.	MA
Longview Acquisition Corp. II	NY
Luminar Technologies Inc.	FL
Lux Health Tech Acquisition Corp.	NY
M3 Brigade Acquisition Corp. II	NY
Magnum Opus Acquisition Limited	China
Mallard Acquisition Corp.	NC
Marlin Technology Corporation	CA
Marquee Raine Acquisition Corp.	NY
Mason Industrial Technology, Inc	NY
MCAP Acquisition Corp	IL
MDH Acquisition Corp.	TX
Medicus Sciences Acquisition Corp.	NY
MedTech Acquisition Corporation	NY
Metromile, Inc.	CA
Mission Advancement Corp.	AZ
Montes Archimedes Acquisition Corp.	CA
Monument Circle Acquisition Corp.	IN
Moringa Acquisition Corp	NY
Motion Acquisition Corp.	NY
Motive Capital Corp.	NY

## New Transfer Agent Customers (continued)

COMPANY	AREA
Mountain Crest Acquisition Corp. II	NY
MP Materials Corp	NV
MSD Acquisition Corp.	NY
Mudrick Capital Acquisition Corporation II	NY
Multiplan Corp.	NY
Multiplan Corp.	NY
Natural Order Acquisition Corp	MA
NeolmmuneTech Inc.	MD
New Beginnings Acquisition Corp.	FL
New Vista Acquisition Corporation	IL
Newbury Street Acquisition Corporation	MA
Nexe Innovations, Inc.	Canada
NextGen Acquisition Corp. II	FL
NextGen Acquisition Corporation	FL
NextPoint Acquisition Corp.	Canada
Noble Rock Acquisition Corporation	DE
North Atlantic Acquisition Corporation	Cayman Islands
Northern Genesis Acquisition Corp. II	MO
Northern Genesis Acquisition Corp. III	MO
Northern Star Acquisition Corp.	NY
Northern Star Investment Corp. II	NY
Northern Star Investment Corp. III	NY
Northern Star Investment Corp. IV	NY
Novus Capital Corporation II	IN
Nuvation Bio Inc	NY
Nuvve Holding Corp	CA
OCA Acquisition Corp.	NY
Omega Alpha SPAC	MA
Omnichannel Acquisition Corp	NJ
One Equity Partners Open Water I Corp.	NY
Organice Garage Ltd	Canada
Orion Acquisition Corp.	NY
Osprey Bitcoin Trust	NY
OTR Acquisition Corp	FL
Ouster Inc.	CA
Oyster Enterprises Acquisition Corp.	CT
Parts ID, Inc.	NJ
Pathfinder Acquisition Corp.	CA
Paya Holdings Inc	GA
Paysafe Limited	UK
Periphas Capital Partnering Corp.	NY
Permian Production Holdings LLC	CO

COMPANY	AREA
Petra Acquisition Corp	NY
Pine Island Acquisition Corp.	FL
Pine Technology Acquisition Corp.	OH
Pioneer Merger Corp.	NY
Pivotal Investment Corporation III	NY
PLBY Group, Inc.	CA
Plum Acquisition Corp. I	CA
POEMA Global Holdings Corp.	Cayman Islands
Pontem Corporation	NY
Pontus Protein Ltd	Canada
Population Health Investment Co Inc.	NY
Porch Group Inc.	WA
Portofino Resources, Inc.	Canada
Power & Digital Infrastructure Acquisition Corp.	IL
Powered Brands	NY
PriceMDs.com INC.	FL
Primavera Capital Acquisition Corp.	China
Priveterra Acquisition Corp.	FL
Progress Acquisition Corp.	MA
Property Solutions Acquisition Corp. II	NY
PropTech Investment Corp II	WY
Prospector Capital Corp	CA
Provenance Gold Corp	Canada
Provident Acquisition Corp	China
Psyched Wellness Ltd	Canada
Pure Extractions Corp.	Canada
PureCycle Technologies, Inc.	FL
PWP Forward Acquisition Corp I	NY
Qell Acquisition Corp.	CA
Quantum FinTech Acquisition Corp	FL
QuantumScape Corporation	CA
Queen's Gambit Growth Capital	NY
Real Luck Group, Ltds.	Canada
Recharge Acquisition Corp.	FL
Red Light Holland Corp.	Canada
Reinvent Technology Partners Y	NY
Reinvent Technology Partners Z	NY
Research Alliance Corp II	NV
Reviva Pharmaceuticals Holdings Inc.	MA
Revolution Acceleration Acquisition Corp.	DC
Revolution Healthcare Acquisition Corp	MA

## New Transfer Agent Customers (continued)

COMPANY	AREA
Reyna Silver Corp.	Canada
Rice Acquisition Corp.	PA
RMG Acquisition Corp. III	NY
RMG Acquisition Corp. II	NY
Rocket Internet Growth Opportunities Corp.	Cayman Islands
Rodgers Silicon Valley Acquisition Corp.	CA
Roman DBDR Tech Acquisition Corp	CA
Rosecliff Acquisition Corp. I	NY
Ross Acquisition Corp. II	FL
Roth CH Acquisition II Co.	CA
Roth CH Acquisition III Co.	CA
Rotor Acquisition Corp.	NY
Rush Street Interactive, Inc.	IL
RXR Acquisition Corp.	NY
Sandbridge X2 Corp.	NY
Sarissa Capital Acquisition Corp	CT
Science Strategic Acquisition Corp Alpha	CA
ScION Tech Growth I	United Kingdom
ScION Tech Growth II	United Kingdom
SCP & Co Healthcare Acquisition Company	FL
Seaport Global Acquisition Corp.	NY
Senior Connect Acquisition Corp. I	AZ
Seven Oaks Acquisition Corp.	NY
Shift Technologies, Inc.	CA
Silver Crest Acquisition Corporation	China
Silver Spike Acquisition Corp. II	NY
Silverbox Engaged Merger Corp. I	TX
Simon Property Group Acquisition Holdings, Inc.	IN
Skillz, Inc	CA
Skillz, Inc.	OR
Slam Corp	NY
Soaring Eagle Acquisition Corp	CA
SOC Telemed, Inc.	VA
Social Capital Hedosophia Holdings Corp. IV	CA
Social Capital Hedosophia Holdings Corp. V	CA
Social Capital Hedosophia Holdings Corp. VI	CA
Social Leverage Acquisition Corp I	AZ
Spartacus Acquisition Corp.	GA
Spartan Acquisition Corp. II	NY

COMPANY	AREA
Spartan Acquisition Corp. III	NY
Sports Entertainment Acquisition Corp.	NY
Sports Ventures Acquisition Corp.	FL
Sportstek Acquisition Corp	OK
Spring Valley Acquisition Corp.	TX
Star Peak Corp II	IL
Star Royalties Ltd	Canada
Stratim Cloud Acquisition Corp.	NV
Studio Bank	TN
Supernova Partners Acquisition Company, Inc.	DC
Sustainable Development Acquisition I Corp	CA
SVF Investment Corp.	Cayman Islands
SVF Investment Corp. 2	Cayman Islands
SVF Investment Corp. 3	Cayman Islands
Switchback II Corporation	CA
Tailwind International Acquisition Corp	NY
Tailwind Two Acquisition Corp	NY
Tastemaker Acquisition Corp.	NY
Tattooed Chef, Inc.	CA
TB SA Acquisition Corp.	Cayman Islands
TCW Special Purpose Acquisition Corp.	CA
Tech and Energy Transition Corp.	NY
Tekcorp Digital Acquisition Corp.	NV
Thayer Ventures Acquisition Corporation	CA
The Music Acquisition Corporation	CA
Thimble Point Acquisition Corp.	CT
Thoma Bravo Advantage	IL
Thunder Bridge Capital Partners III Inc.	VA
Tiga Acquisition Corp.	Singapore
Tishman Speyer Innovation Corp. II	NY
TLG Acquisition One Corp.	FL
TPG Pace Beneficial Finance Corp.	TX
TPG Pace Tech Opportunities Corp.	TX
Trepont Acquisition Corp. I	CA
Tribe Capital Growth Corp I	CA
Triterras, Inc.	Singapore
TS Innovation Acquisition Corp	NY
Tuatara Capital Acquisition Corporation	NY
Turmeric Acquisition Corp.	MA
Twelve Seas Investment Company II	CA

## New Transfer Agent Customers (continued)

COMPANY	AREA
Twin Ridge Capital Acquisition Corp.	CA
TZP Strategies Acquisition Corp.	NY
United Wholesale Mortgage LLC	MI
VectoIQ Acquisition Corp. II	NY
Vector Acquisition Corporation II	CA
Velocity Acquisition Corp	CT
Ventoux CCM Acquisition Corp	CT
Vesper Healthcare Acquisition Corp.	FL
VG Acquisition Corp.	NY
Vickers Vantage Corp. I	Singapore
View, Inc	CA
Vincerx Pharma, Inc.	CA
Virgin Acquisition Corp. II	NY
Virtuoso Acquisition Corp.	CT
Viveon Health Acquisition Corp.	GA

COMPANY	AREA
VPC Impact Acquisition Holdings II	IL
VPC Impact Acquisition Holdings III	IL
Vy Global Growth	Cayman Islands
Waldencast Acquisition Corp.	Cayman Islands
Warburg Pincus Capital Corporation I-A	NY
Warburg Pincus Capital Corporation I-B	NY
Warrior Technologies Acquisition Company	TX
William Penn Bancorporation	PA
XL Fleet Corp.	MA
Yellowstone Acquisition Company	NE
Zanite Acquisition Corp.	OH
Zonetail Inc.	Canada
Z-Work Acquisition Corp	NY

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