

## Corporate Actions Services

### Take your high-level transactions to a higher level.

Continental Stock Transfer & Trust Company can partner with you to assist in managing your corporate action transactions. Our team of experts is committed to your success and will efficiently help navigate you through your event. We will focus on every detail using specialized talent and industry-leading technology.

### Scope of Services

- Escrow services
- Direct access to your dedicated team
- End-to-end mailing services
- Detailed status reports
- Required tax reporting
- Escheatment of aged unexchanged items to the various state agencies
- Lost shareholder search programs
- Stock exchanges & cash mergers
- Subscription rights offerings
- Liquidations & distributions
- Reverse stock splits
- Debt tenders / redemptions
- In-house contact center



Your transaction will be serviced by a team that has managed many of the industry's largest and most complex transactions. Most importantly, every critical transfer agent function may be completed in-house by a U.S.-based operations team, including our customer care team who will be trained on your specific transaction to manage your shareholder's needs.

# Our Solutions

#### Transfer Agency

- Dedicated Account Management Team
- Employee Staffed Contact Center
- Reinvestment Administration
- Restricted & Legal Transactions
- Dividend Disbursement
- DWAC & DRS
- Annual Meeting & Proxy Service
- Lost Shareholder & Escheatment

## Affiliated Services

- Newswire Services
- Proxy Solicitation & Governance
- Fulfillment Services
- Market Intelligence Services
- Post Mergers
- Stock Plan Services

#### Corporate Actions

- Tender & Exchange Agent
- Redemptions
- Rights Agent / Poison Pill
- Stock Splits
- Mergers & Acquisitions
- Odd-Lot Programs
- Dutch Auctions
- Cash & Stock Escrows

#### Special Services

- IPOs
- SPAC Trustee Services
- Emerging Companies
- Escrows
- Post Bankruptcy Services
- Warrant Agent
- Global Transfer Agency

As a privately - held trust company, our singular focus translates into an exceptional, exacting solution delivered at the best possible price.

CONTINENTALSTOCK.COM 212.845.3224



STOCK TRANSFER & TRUST

