

CORPORATE ACTIONS SERVICES

TAKE YOUR HIGH-LEVEL TRANSACTIONS TO A HIGHER LEVEL.

Continental Stock Transfer & Trust Company can help you complete your corporate actions, including escrow services, smoothly, efficiently and cost effectively. Our experienced, knowledgeable team of experts is committed to your business and will expertly navigate through this significant event. We will focus on every detail and help you follow through on these actions using specialized talent and industry-leading technology.

We offer direct access to our top-tier experts and guarantee that your account will be serviced by a team that has handled some of the industry's largest and most complex transactions. In addition, every critical transfer agent function is done in-house by domestic-based operations, which means there are no added fees. We offer personalized telephone service by our knowledgeable team to ensure quality and thoroughness.

Our responsiveness and flexibility in handling all types of nuances and deadlines in the paying agent world is well respected throughout the industry.

Our experience in public and private company mergers has increased significantly with more than

200 SPAC

BUSINESS COMBINATIONS COMPLETED &

our dedicated paying agent team has disbursed in excess of

\$250 BILLION

TO SHAREHOLDERS AND INVESTORS.

SCOPE OF SERVICES

Continental Stock Transfer & Trust will provide the below services, allowing you to timely complete transfers, exchanges and distributions:

- Personalized service for your company and your shareholders
- Direct access to our dedicated team
- End-to-end mailing services
- Correspondence to the presenter for items requiring additional documentation
- Status reports that detail the progress of the event processing
- All required tax reporting to appropriate government agencies
- Escheatment of aged unexchanged items to the various state agencies
- Lost shareholder search programs
- Escrow services
- Stock exchanges & cash mergers
- Tender & election offers
- Subscription rights offerings
- Liquidations & distributions
- Bankruptcies
- Reverse stock splits
- Debt tenders or consents
- Redemptions

Continental provides transfer agent services to 1,250 transfer agent customers and cross border agent services to 100 global issuers. Continental is the largest U.S. owned Stock Transfer.

As proud as we are of our history, we are even more proud of the fact that we satisfy our customers time and again, no matter how challenging their needs may be.

CORPORATE ACTIONS ONLINE INTERFACE

Our online interface allows for a centralized location for holders to submit all necessary information in just minutes — online or via mobile.

The screenshot shows the Continental Corporate Actions Online Interface. The top navigation bar includes the Continental logo, a phone number (212.212.2122), a 'Home' button, and a 'Contact' button. The main content area is divided into several sections:

- Investor Information:** Displays the account name (MARK BOTTLE), account number (AXI), and a 'Document Exchange' button.
- Account Verification for Corporate Action Processing:** Shows the issue name (MOUNTAIN RANGE CO), record date (04/13/2020), and payable date (04/13/2020). A 'Download' button is present.
- Corporate Action Welcome Page:** A message stating: 'Welcome to the Corporate Action portal! Please verify your holdings and upload any necessary documentation.'
- Please verify your contact information:** A form with fields for Address Line 1, Address Line 2, Address Line 3, City, State, Zip Code, and Country. The current values are: Address Line 1: 123 ADDRESS ST, City: PHILADELPHIA, State: PA, Zip Code: 19105, Country: UNITED STATES.
- Current Information:** Displays the user's name (MARK BOTTLE), address (123 ADDRESS ST, PHILADELPHIA, PA 19105), and email (MBOTTLEAXI@GMAIL.COM).

- Verify Holdings
- Verify Contact Information
- Complete Tax Forms
- Enter Payment Instructions
- Review Representations
- Sign & Submit

While electronic submission provides many benefits, it may not be appropriate or desired for every transaction. When preferred, our team is also proficient in handling hard copy documents.

When an M&A transaction reaches the disbursement stage, efficiency and accuracy are key components to a successful closing. Continental Stock Transfer & Trust Co. uses secure authentication methods to provide a safe and simple way to submit all materials.

Document Level

Corporate Action

Document Method

All Methods

Document Type

All Types

Corporate Action

A (PENDING) REC 07/04/21/0520 1

Document Added

From: To:

Document Due

From: To:

Refresh

Last Refresh: 05/07/2020 12:13:02 PM

Business Document Summary

Documents Outstanding: 0

Documents Completed: 0

Documents Part Due: 1

Missing Signatures: 0

Submitted Signatures: 0

Required Documents Missing: 0

Required Documents Submitted: 0

Total Sent: 0

Requested Documents Pending Requests: 1

Submitted Requests: 0

Documents Requiring Action Action Pending: 0

Submitted Action: 0

Shareholder Summary

Total Holders: 15

Documents Received: 2

Documents Outstanding: 12

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Pending Document Requests

Level	Entity Name	Method	Request Added	Document Type	Document Name
Corporate Action	PRINCIPAL MOUNTAIN RANGE CO (04/13/2020)	Request	05/06/2020	TRANSMITTAL LETTER	

Complete Document Requests

Level	Entity Name	Method	Document Received	Document Type	Document Name
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Shareholder Documents

SEC #	Shareholder Name	Amount	Paid Status	Check Number
	JOHN BOTTLE	\$100	PROCESSED	
1	JANE DOE	\$100	PROCESSED	
2	JILL JOHNSON	\$100	PROCESSED	
3	JACK MARGITA	\$100	PROCESSED	
4	DARREN RAIL	\$100	PROCESSED	
100	MARK BOTTLE	\$100	PROCESSED	
9	1.4589100000000000	1.4589100000000000	PROCESSED	

TO LEARN HOW WE CAN SUPPORT YOU, CONNECT WITH US. 212.845.3224